

**PATENT APPLICATION FEE DETERMINATION RECORD**  
Effective January 1, 2003

Application or Docket Number

PEN 528

**CLAIMS AS FILED - PART I**

(Column 1) (Column 2)

|                                  |              |                          |
|----------------------------------|--------------|--------------------------|
| TOTAL CLAIMS                     | 21           |                          |
| FOR                              | NUMBER FILED | NUMBER EXTRA             |
| TOTAL CHARGEABLE CLAIMS          | 21 minus 20= | * 1                      |
| INDEPENDENT CLAIMS               | 1 minus 3 =  | * 0                      |
| MULTIPLE DEPENDENT CLAIM PRESENT |              | <input type="checkbox"/> |

\* If the difference in column 1 is less than zero, enter "0" in column 2

**SMALL ENTITY  
TYPE**

| RATE      | FEES   |
|-----------|--------|
| BASIC FEE | 375.00 |
| X\$ 9=    | 9      |
| X42=      |        |
| +140=     |        |
| TOTAL     | 384    |

**OTHER THAN  
SMALL ENTITY**

| RATE      | FEES   |
|-----------|--------|
| BASIC FEE | 750.00 |
| X\$18=    |        |
| X84=      |        |
| +280=     |        |
| TOTAL     |        |

**CLAIMS AS AMENDED - PART II**

(Column 1) (Column 2) (Column 3)

| AMENDMENT A                                    | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |       | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA         |   |
|--|---|-------|---|--------------------------|---|
|  | Total                                     | *     | Minus                                       | **                       | = |
| Independent                                    | *   | Minus | ***   | =                        |   |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM |   |       |   | <input type="checkbox"/> |   |

**SMALL ENTITY**

| RATE               | ADDI-<br>TIONAL<br>FEE |
|--------------------|------------------------|
| X\$ 9=             |                        |
| X42=               |                        |
| +140=              |                        |
| TOTAL<br>ADDT. FEE |                        |

**OTHER THAN  
SMALL ENTITY**

| RATE               | ADDI-<br>TIONAL<br>FEE |
|--------------------|------------------------|
| X\$18=             |                        |
| X84=               |                        |
| +280=              |                        |
| TOTAL<br>ADDT. FEE |                        |

(Column 1) (Column 2) (Column 3)

| AMENDMENT B                                    | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |       | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA         |   |
|--|---|-------|---|--------------------------|---|
|  | Total                                     | *     | Minus                                       | **                       | = |
| Independent                                    | *   | Minus | ***   | =                        |   |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM |   |       |   | <input type="checkbox"/> |   |

| RATE               | ADDI-<br>TIONAL<br>FEE |
|--------------------|------------------------|
| X\$ 9=             |                        |
| X42=               |                        |
| +140=              |                        |
| TOTAL<br>ADDT. FEE |                        |

| RATE               | ADDI-<br>TIONAL<br>FEE |
|--------------------|------------------------|
| X\$18=             |                        |
| X84=               |                        |
| +280=              |                        |
| TOTAL<br>ADDT. FEE |                        |

(Column 1) (Column 2) (Column 3)

| AMENDMENT C                                    | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |       | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA         |   |
|--|---|-------|---|--------------------------|---|
|  | Total                                     | *     | Minus                                       | **                       | = |
| Independent                                    | *   | Minus | ***   | =                        |   |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM |   |       |   | <input type="checkbox"/> |   |

| RATE               | ADDI-<br>TIONAL<br>FEE |
|--------------------|------------------------|
| X\$ 9=             |                        |
| X42=               |                        |
| +140=              |                        |
| TOTAL<br>ADDT. FEE |                        |

| RATE               | ADDI-<br>TIONAL<br>FEE |
|--------------------|------------------------|
| X\$18=             |                        |
| X84=               |                        |
| +280=              |                        |
| TOTAL<br>ADDT. FEE |                        |

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\*If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

PTO/SB/06 (05-03)

Approved for use through 4/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**PATENT APPLICATION FEE DETERMINATION RECORD**  
 Substitute for Form PTO-875
Application or Docket Number  
**DEN528****CLAIMS AS FILED - PART I**

(Column 1) (Column 2)

| FOR   | NUMBER FILED  | NUMBER EXTRA |
|---|---------------|--------------|
| BASIC FEE<br>(37 CFR 1.16(a))                     |               |              |
| TOTAL CLAIMS<br>(37 CFR 1.16(c))                  | 21 minus 20 = | 1            |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16(b))            | 3 minus 20 =  | 0            |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d)) |               |              |

\* If the difference in column 1 is less than zero, enter "0" in column 2.

**SMALL ENTITY**

| RATE         | Fee           |
|--------------|---------------|
|              | \$ 375        |
| x \$ 9 =     | 9             |
| x \$ 42 =    | 0             |
| + \$ =       |               |
| <b>TOTAL</b> | <b>\$ 384</b> |

**OTHER THAN SMALL ENTITY**

| RATE         | Fee |
|--------------|-----|
|              | \$  |
| x \$ =       |     |
| x \$ =       |     |
| + \$ =       |     |
| <b>TOTAL</b> |     |

**CLAIMS AS AMENDED - PART II**

(Column 1) (Column 2) (Column 3)

| AMENDMENT   | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA | SMALL ENTITY |
|---|---|---|------------------|--------------|
|   |   |   |                  | RATE         |
| Total<br>(37 CFR 1.16(c))                                       | *   | Minus                                       | **               | = 0          |
| Independent<br>(37 CFR 1.16(b))                                 | *   | Minus                                       | ***              | = 0          |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |   |   |                  |              |

| RATE                       | ADDITIONAL<br>FEE |
|----------------------------|-------------------|
| x \$ =                     |                   |
| x \$ =                     |                   |
| + \$ =                     |                   |
| <b>TOTAL<br/>ADD'L FEE</b> |                   |

**OTHER THAN  
SMALL ENTITY**

| RATE                       | ADDITIONAL<br>FEE |
|----------------------------|-------------------|
| x \$ =                     |                   |
| x \$ =                     |                   |
| + \$ =                     |                   |
| <b>TOTAL<br/>ADD'L FEE</b> |                   |

(Column 1) (Column 2) (Column 3)

| AMENDMENT B   | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA | SMALL ENTITY |
|---|---|---|------------------|--------------|
|   |   |   |                  | RATE         |
| Total<br>(37 CFR 1.16(c))                                       | *   | Minus                                       | **               | =            |
| Independent<br>(37 CFR 1.16(b))                                 | *   | Minus                                       | ***              | =            |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |   |   |                  |              |

| RATE                       | ADDITIONAL<br>FEE |
|----------------------------|-------------------|
| x \$ =                     |                   |
| x \$ =                     |                   |
| + \$ =                     |                   |
| <b>TOTAL<br/>ADD'L FEE</b> |                   |

| RATE                       | ADDITIONAL<br>FEE |
|----------------------------|-------------------|
| x \$ =                     |                   |
| x \$ =                     |                   |
| + \$ =                     |                   |
| <b>TOTAL<br/>ADD'L FEE</b> |                   |

(Column 1) (Column 2) (Column 3)

| AMENDMENT C   | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA | SMALL ENTITY |
|---|---|---|------------------|--------------|
|   |   |   |                  | RATE         |
| Total<br>(37 CFR 1.16(c))                                       | *   | Minus                                       | **               | =            |
| Independent<br>(37 CFR 1.16(b))                                 | *   | Minus                                       | ***              | =            |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d)) |   |   |                  |              |

| RATE                       | ADDITIONAL<br>FEE |
|----------------------------|-------------------|
| x \$ =                     |                   |
| x \$ =                     |                   |
| + \$ =                     |                   |
| <b>TOTAL<br/>ADD'L FEE</b> |                   |

| RATE                       | ADDITIONAL<br>FEE |
|----------------------------|-------------------|
| x \$ =                     |                   |
| x \$ =                     |                   |
| + \$ =                     |                   |
| <b>TOTAL<br/>ADD'L FEE</b> |                   |

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\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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